□ | □ | □ | □ | □ | □ | ■ | ○ | 美商安達產物保險股份有限公司台灣分公司

Insurance Company of North America, Taiwan Branch

110 台北市信義區信義路 5 段 8 號 10 樓 電話: 02-87581800 傳真: 02-23551888 免費申訴電話: 0800-608-989

安達產物生命科學綜合責任保險要保書 CHUBB LIFE SCIENCE LIABILITY INSURANCE APPLICATION FORM

105.11.22 安達商字第 1050665 號函送保險商品資料庫

有關本公司公開資訊,請見本公司網址:http://www.chubb.com/tw

本商品經本公司合格簽署人員檢視其內容業已符合保險精算原則及保險法令,惟為確保權益,基於保險業與消費者衡平對等原則,消費者仍應詳加閱讀保險單條款與相關文件,審慎選擇保險商品。本商品如有虛偽不實或違法情事,應由本公司及負責人依法負責。

請您務必在本要保書充分並如實揭露您所知曉的或應當知曉的所有資訊,以免影響您基於本保險契約的權益。 YOU ARE TO DISCLOSE IN THIS FORM FULLY AND FAITHFULLY ALL FACTS WHICH YOU KNOW OR OUGHT TO KNOW, OTHERWISE YOUR RIGHTS UNDER THIS INSURANCE CONTRACT MAY BE PREJUDICED.

注意:這是一份以賠償請求報案制為部分承保範圍基礎的保險的要保書。依據本要保書所簽發的任何保險單的責任限額,根據保險單的相關定義,應包括對賠償請求的賠償金額和保險單所定義的理賠與辯護費用的給付。

NOTICE: This is an application for a policy with part of the coverage on claims made and reported basis and that the limit of liability under any policy to be issued in response hereto shall include both indemnity payments for claims and payment of claim and defense expenses, as defined in the policy.

茲經同意,本保險應由列名第一位的列名被保險人要保。列名第一位的列名被保險人應負責繳付保險費或接受退還的保險費,提出或接受終止的通知,協商、同意並接受附加條款,提出或接受本保險單規定的任何通知(申請延長報案期間的通知除外)。列名第一位的列名被保險人應取得各被保險人授權其代表所有被保險人提出或接受賠償請求的通知。

It is agreed that the Applicant shall be the first Named Insured of this insurance. The first Named Insured shall be responsible for the payment of premiums, receiving of premium refund, giving and receiving of notice of termination, negotiation on, consent to, and acceptance of endorsements, and giving and receiving of any notice provided for in this policy (except the giving of notice of applying for an Extended Reporting Period) and shall, with the authority from all Insureds, act on behalf of all Insureds with respect to the giving and receiving of notice of Claim.

請注意保險單的辯護費用條款規定責任限額可因給付訴訟費用而用盡。任何自負額或自留額均適用於賠償金以及調查費用和辩護費用。 Please note that the defense cost provision of the policy stipulates that the limits of liability may be completely exhausted by the cost of legal defense. Any deductible or retention shall apply to investigation expense and defense costs as well as indemnity.

所有根據本要保書投保的個人或組織都應當如實、完整地回答本要保書所列的所有問題。對於不適用的問題或欄目,請用"不適用"回答。如果一個問題的答案是無,請寫明"無"或者"()"。如果需要更多的空間填寫問題的完整答案,請另以附頁填寫,並註明其所回答的問題。

ALL QUESTIONS IN THIS APPLICATION MUST BE ANSWERED TRUTHFULLY AND COMPLETELY FOR ALL PERSONS OR ORGANIZATIONS APPLYING FOR INSURANCE UNDER THIS APPLICATION. IF A QUESTION OR SECTION IS NOT APPLICABLE, PLEASE ANSWER "NA". IF THE ANSWER TO A QUESTION IS NONE, STATE "NONE" OR "O". IF MORE SPACE IS REQUIRED TO ANSWER A QUESTION COMPLETELY, PLEASE PROVIDE A SEPARATE ATTACHMENT AND IDENTIFY THE QUESTION IT RESPONDS TO.

本要保書是一份word文檔,要保人可以在相應的空白欄處填寫資訊,但請絕對勿對本要保書進行任何修改(除非為答案而保留的欄位)。本檔所設置的格式可以根據填入的內容而相應調整欄位元的空間大小。在要保書每一主項的末尾都留有空白欄位,可加註詳細的說明。

This application is a word document that allows applicant to enter information in the empty sections. Any alteration of this application (other than sections reserved for answers) is expressly prohibited. This document is configured so that each data entry section will expand to accommodate the information. A box for detailed commentary has been provided below each major section of the application.

填表前,請提供以下文件的影本作為本申請表的附件:

BEFORE CONTINUING, PLEASE ATTACH COPIES OF THE FOLLOWING WITH THIS APPLICATION:

- 1. 最近5年所發生的損失的詳細資訊
 - Detailed loss information for the last 5 years
- 2. 銷售、服務和許可契約或協議的標準格式以及3份最大金額的銷售、服務和許可契約或協議

Copies of standard and 3 largest sales, service & license contracts or agreements

3. 如果為民營企業,最近一次財務報表

If private, most recent financial statement

- 4. 現行主辦的臨床試驗計劃書以及相關受試者同意書 Protocols and Informed Consent documents for active sponsored clinical trials
- 5. 其他可提供的材料 Other materials as applicable

一般資訊

GENERAL INFORMATION

GI	ENERAL INFORMATION		
1.	要保人:		
	Applicant:		
2.	請提供您經營業務的簡介:		
_	Please provide brief description of your operations:		
3.	地址:		
_	Address:		
4.	郵寄位址(如不同): Mailing Address (if liffment)		
5.	Mailing Address: (if different)		
Э.	網址: Walk City Address		
6.	Web Site Address: 其他地址(如不同於上述者):		
Ο.			
7.	Locations: (if other than above) 所有列名被保險人:		
١.	All Named Insureds:		
8.	Minamed insureds: 附加被保險人(說明關係):		
О.	Additional Insureds: (explain relationship)		
9.	最近5年內收購的任何子公司?(如有,請寫明公司的名稱和收購日期)		
9.	取近5千尺収購的任何子公司 : (如有) 請為明公司的名稱和収購日期) Any acquired subsidiaries in the last 5 years? (if yes, please provide entity		
	name and date acquired)		
10	要保人是:	個 人 Individual 🗌	合 夥 Partnership
10.	女術へ及・ Applicant is:		合資企業 Joint Venture
	Applicant is:	法 人 Corporation □	
4.4	크 하 네스 No OR .	有限責任公司 LLC	其他 Other L (説明) (describe)
11.	已營業期間:		
10	Years in business? 要保人是否有母公司?(如有,請寫明母公司名稱)		
12.			
12	Does applicant have a parent company? (if yes, provide name) 要保人是否以其他名稱經營業務? (如有,請寫明詳細資訊)		
13.			
4.4	Has applicant operated under another name? (if yes, provide full details)		
14.	要保人的競爭者中前3名?		
15	Who are applicant's top 3 competitors? 要保人在最近七年內有否申請過破產?(如有,寫明詳細資訊,包括申請破		
15.	要係入住取近七平內有省甲前迴破產〔〔如有,為明詳細貞訊,包括甲前破產理由的簡要介紹,破產管轄地,法院編號和破產管理人的身份和聯繫方式〕		
	程廷田的简安介紹,吸及官辖地,法院網號和吸及官廷八的牙份和研索方式, Has the applicant filed for bankruptcy in the last seven years? (if yes, provide		
	full details, including a brief description of the reason for filing, bankruptcy		
	jurisdiction, court number and identity and contact information of the trustee)		
16.	要保人或其任何股東、董事、經理人、合夥人或合資企業成員是否涉及任何		
	與您業務有關的刑事犯罪調查?		
	Is the applicant or any shareholders, directors, officers, partners, or		
	members thereof under any investigation for alleged criminal violations		
	relating to your business?		
17.	要保人是否遵守所有的適用的法規指引? (如果沒有,具體寫明)		
	Is applicant in compliance with all applicable regulatory guidelines? (if no,		
	provide details)		
18.	要保人在最近3年內有否因任何違規原因被傳喚? (如有,具體寫明)		
	Has applicant been cited for any regulatory violations in the last 3 years? (if		
	yes, provide details)		
19.	預計國內銷售總額?		
	Total projected domestic gross sales?		
20.	預計國外銷售總額?		
	Total projected non-domestic gross sales?		
21.	上一年度銷售總額?		
	Previous year gross sales?		

				Г			
22. 請從下列項目中勾選所投		l					
Please advise what covera ()身體傷害與財物損失		noose.					
	.,公共息外頁任 operty Damage, Prem	icoc/Oporatio	one Liability				
()身體傷害與財物損失			•				
	operty Damage, Produ						
Operations Hazard		ucts-complet	.eu				
Liability, Claims-Mad							
()廣告傷害與個人傷害							
	nd Personal Injury Lia	bility					
()錯誤或疏漏責任(賠付	賞請求報案制)	•					
Errors Or Omissions	Liability, Claims-Made	e and Report	ed				
配 校 / J J							
醫藥/生物製劑研發或產品收入 DRUGS/BIOLOGICS R&D OR		• •		licata hara			
單一來源處方		E FERCENTA	單一來源非處方				
Single Source Prescription			Single Source O		unter		
多源/一般處方			多源/一般非處:		arrer		
Multi-Source/Generic Prescri	ption		Multi-Source/G		r the Counter		
						L	
專業分類							
SPECIALTY BREAKDOWN						T	
心臟/血管疾病			腫瘤				
Cardiology/Vascular Diseases			Oncology				
齒科/領面外科			眼科				
Dental/Maxillofacial Surgery			Ophthalmology	<i>I</i>			
皮膚科/整形外科			耳鼻喉科				
Dermatology/Plastic Surgery			Otolaryngology	7			
內分泌科 For decreased and			兒科/新生兒科	. 1			
Endocrinology			Pediatrics/Neor	natology			
腸胃科			藥理科/毒理科	/m: 1	_		
Gastroenterology 血液科			Pharmacology/ 精神病科/心理		'		
Hematology			精神病科/心理等 Psychiatry/Psyc	•			
免疫/傳染病			肺/呼吸道疾病	Jilology			
Immunology/Infectious Disea	1000		型,一个交通疾病 Pulmonary/Res	niratory D	icaneae		
骨科	13C3		風濕科	spiratory D	13Cd3C3		
Musculoskeletal			Rheumatology				
腎臟/泌尿科			外科/急症科				
Nephrology/Urology			Trauma/Emerg	ency Medi	cine		
神經科			其他	, ,			
Neurology			Other				
產科/婦科							
Obstetrics/Gynecology							
1. 要保人是否曾經、正在或							
Does applicant have any p				s in any of		(if yes, provide	details)
已知致畸物質	疫苗	植物	• •		抗憂鬱症物質		
Known Teratogen	Vaccines		t Derived		Anti-Depressant		
已知致突變物質	動物源	節育			荷爾蒙		
Known Mutagen	Animal Derived		n Control		Hormone The First Walter		
已知致癌物質 Vnovn Corginagon	人類源 Human Derived		體重 rht Doduction		致瘾物質 Addictive Substances		
Known Carcinogen 詳細資訊:	Human Derived	weig	ght Reduction		Addictive Substances		
計細貝訊· Details:							
Details.							
醫藥設備研發或產品收入比率	运。如果不適用請在此	;註明:□					
MEDICAL DEVICES R&D OR			\mathbf{AGES} . If N/A , ind	licate here:			

麻醉科			血液科和病理科			
Anesthesiology 心血管科			Hematology and Pathology		ology	
心血管科			免疫科和微生			
Cardiovascular			Immunology and Microbiology		obiology	
臨床化學科和臨床毒理科			神經科			
Clinical Chemistry and Cli	nical Toxicology		Neurology			
齒科			助產科和婦產	科		
Dental			Obstetrical and	d Gynec	ological	
耳鼻喉科			眼科			
Ear, Nose, and Throat			Ophthalmic			
腸胃科和泌尿科			整形外科			
Gastroenterology and Uro	ology		Orthopedic			
綜合整形外科			物理治療			
General and Plastic Surger	ry		Physical Medic	cine		
綜合醫院和個人自用			放射科			
General Hospital and Pers	onal Use		Radiology			
•			其他			
			Other			
	l .					
1 要保人是否曾經、正在		的產品: (加	右, 且豐宜明)			
	ny past, present, or planned			wing pr	aducte (if vee provi	ide details)
隆胸	脊椎類裝置		橡膠手套	wing pro	Juicis. (19 yes, provi	ac actails)
Breast Implants	Spinal Devices		Latex Gloves			
宮內節育器	動物源		增塑劑			
	** * * *		日型別 DEHP			
IUD Devices	Animal Derived		DEHP			
椎弓根螺釘	人類源					
Pedicle Screws	Human Derived					
詳細資訊:						
Details:						
	。如果不適用請在此註明 PRODUCT REVENUE PER 	CENTAGES.	If N/A, indicate here 宿物,代謝物,成份		煉物	
Vitamin		Cor	ncentrate, metaboli	ite, cons	tituent or extract	
礦物質		酶				
Mineral		Enz	zymes			
草藥或其他植物性藥材		藥夠	顧食物(需處方)			
Herb or other botanical			dical foods (prescri	iption re	auired)	
氨基酸		其任			,	
Amino acid			Other			
	l					
1. 請寫明目前被列入美國	1食品及藥物管理局營養補		全			
	gov/~dms/ds-warn.html)或	_		你的亲写	2. 料 別 。	
	our product categories cu					
	nformation Site (http://ww					
2. 您的產品是否包含任何						
	ts contain any animal deriv	ved substances	2			
3. 您的產品是否有過保健	ts contain any ammarder w t聲明?如有,是哪些產品			業刊物中	7出現	
過? Do any of your products make health claims? If yes, which ones and have they been published in						
peer review publicatio		n n	In de site comment in the	٠ د ر	> ± 0	
	「新膳食成份的定義?如果				查?	
	ucts ever fit the definition of		ry ingredient? If so,	, have		
	ews been conducted per re					
	何一項活性成份可能會被					
	ucts ever had an active ing	redient that w	ould be defined as	a drug b	y a	
regulatory agency? If s	so, what are they?					
詳細資訊:						
Details:						
·			·		· <u>·</u>	

專業服務收入比率。*如果不適用請在此註明:*□ **PROFESSIONAL SERVICE REVENUE PERCENTAGES.** *If N/A, indicate here:* 符合臨床檢驗改進修正案標準認證的實驗室服務(說明實驗 產品回收/撤回 室服務的類別) Product Recall/Withdrawal CLIA Certified Lab Services (indicate type of lab services) 臨床試驗中心管理 一期臨床試驗中心服務 Phase 1 Site Services Clinical Site Management 臨床試驗產品包裝 設備安裝/維護/殺菌消毒 Clinical Trials Packaging Equipment Installation/Maintenance/Sterilization 臨床試驗中心選擇、訓練、監測 品管系統和法令遵循 Quality Systems & Regulatory Compliance Clinical Site Selection, Training, Monitoring 聯絡溝通和成果發表 銷售和市場推廣 **Communications & Publications** Sales & Marketing 健康管理、經濟和政策研究 軟體開發和產品設計 Health Management, Economic, & Policy Research Software Development or Product Design 資訊服務/資料庫 生產/分銷/包裝/混合/標籤 Information Services/Databases Manuf./Distribution/Packaging/Mixing/Labeling 臨床試驗審查委員會 藥物監測/安全監督 Institutional Review Board Pharmacovigilance/Safety Surveillance 臨床前服務 倉儲 **Pre-clinical Services** Warehouse storage 財務服務 (請寫明) 其他 (請解釋) Financial Services (please describe) Other (please explain) 要保人是否已制定專案計畫綱要和操作程序? Does applicant have formalized project-planning policies and procedures? 要保人是否已制定客户投訴解決方案和操作程序? Does applicant have formalized client complaint resolution policies and procedures? 在最近3年內是否有任何過期契約,或有客戶停止付款或要求退款或賒帳? (如有,具體寫明) Are any contracts past due or has a client stopped paying or asked for a refund or credit in the last 3 years? (if yes, provide details) 現在有效契約的總數? Total # of current contracts? 近10年內任何中途停止的服務? (如有,具體寫明) Any discontinued services within the last 10 years? (if yes, provide details) 要保人契約的平均契約價款?要保人契約的平均期限? Average dollar value of applicant's contracts? Average duration of applicant's contracts? 請指明下一保險年度最大的客戶,以及相關契約的金額/數量和期限: Indicate largest client for upcoming policy year, and include contract amount/volume and 要保人機構內其他人的動產總值? What is the total value of the personal property of others at applicant's facilities? 詳細資訊: Details: 藥物發明技術研發或產品收入比率。*如果不適用請在此註明:* DRUG DISCOVERY TECHNOLOGY R&D OR PRODUCT REVENUE PERCENTAGES. If N/A, indicate here: 生物資訊 蛋白質組 基因體 **Bioinformatics** Proteomic Genomics • 軟體: • 軟體: • 軟體: • Software: • Software: • Software: • 硬體: • 硬體: • 硬體: • Hardware: • Hardware: • Hardware: • 數據: • 數據: 數據: • Data: • Data: • Data:

其他: Other:

詳細資訊:							
Details:							
研究选择的 2 /其全。4	□果不適用請在此註明:□						
	ONS REVENUES/FUNDING	If N/A indica	ate here:				
產品許可	CONDINC		產品的商業化				
Product Licensing			Product Commer	cialization			
基礎研究			醫藥產品研究	Ciamation			
Basic Research			Medical Product	Research			
臨床前測試			非醫藥產品研究				
Pre-clinical Testing		ľ	Non Medical Prod	duct Resear	ch		
臨床測試			其他				
Clinical Testing		(Other				
詳細資訊:							
Details:							
	j收入比率。 <i>如果不適用請在</i>						
	HOLESALE DISTRIBUTOR 1			N/A, indicat	e here: 🔲		
醫藥/生物製劑			零配件/軟體				
Drugs/Biologics			evice Componer	it Parts/Soft	ware		
醫療設備		醫藥/生物					
Medical Devices			ologic Ingredients	8			
營養補充品		醫藥產品					
Dietary Supplements			roducts Manufac	turing Equi	pment	 	
活性成份		醫藥產品					
Active Ingredients			roducts R&D Equ	пртепт			
		其他					
		Other					
1. 是否有零配件或成分	↑的供應商,或其他人產品的	八公东,西北	: 出 益 吝 口 批 可 技	七 	善 仁.仅		
	·的供應圈 · 或其他八座的的 · 您是否需要包括辯護費用在			'月白~ 庄田	貝任休		
	の			others do re	oquire		
	tatus on the product license				•		
	damages including defense		acts hability polic	y. Do you	require		
詳細資訊:							
Details:							
人體臨床試驗。如果不	適用請在此註明: 🗌						
	IALS. If N/A, indicate here: [
目前主辦的試驗(包括							
	Being Sponsored. (include p		T				
	在下一保險期間的新登記	描述		试驗階段	國家		試驗場地數
Product Name &	受試者人數	Indication	Т	rial Phase	Country(ies)		Number of sites
Protocol Number	# of New Enrollees Over						
	Next Policy Period						
	月內預計的擴大獲得/自願參						
	d access/compassionate use		inticipated in the	coming pol	icy term?	 	
	辨的人體臨床試驗已完成的:						
	npleted human clinical trials	s applicant spo	onsored in last 3 y	/ears:		<u> </u>	
3. 最近3年內試驗收案		4 1 : 0					
Total number of hur	nan participants enrolled in	tne last 3 years	'S:			1	

4.	任何過去、現在或計畫涉及未成年人的臨床試驗?	
	Any clinical trials past, present, or planned involving minors?	
5.	任何因安全原因而中斷或暫停的臨床試驗? (如有,具體寫明)	
	Any clinical trials discontinued or suspended due to safety reasons? (if yes, provide details)	
6.	有關挑選臨床試驗主持人的最低要求標準是什麼?	
	What are the minimum standards for Clinical Investigator selection requirements?	
7.	是否有臨床試驗主持人在從事與您試驗相關的活動時被登記違規? (如有,具體寫明)	
	Have any Clinical Investigators been cited for regulatory violations in connection with your trials? (if yes,	
	provide details)	
8.	要保人是否持有過去5年內臨床試驗主持人在從事與您的試驗有關的活動時嚴重違規或欺詐行為的證據? (如有,具體寫明)	
	Has applicant had any evidence of serious regulatory non-compliance or fraud by Clinical Investigators in	
	connection with your trials in the past 5 years? (if yes, provide details)	
9.	最近5年由要保人或監管機構對臨床試驗進行"因故稽查"的次數:	
	Number of clinical trial "For Cause Audits" conducted by applicant or regulatory agency in the last 5 years?	
10.	您是否向臨床試驗主持人提供除了提供特定服務的費用以外的其他報酬,例如登記獎金、股權等等?	
	Do you provide Clinical Investigators with compensation other than charges for specific services rendered,	
	such as enrollment bonuses, equity interest, etc.?	
11.	您的受試者同意書的目標閱讀級別為何?	
	What is the targeted reading grade level for your informed consent documents?	
12.	要保人是否要求臨床試驗主持人測試受試者對受試者同意書的理解程度?	
	Does applicant require Clinical Investigators to test participants on their understanding of the informed	
	consent document?	
13.	要保人是否在受試者同意書中或相關過程中包含財務揭露的內容?	
<u></u>	Does applicant incorporate financial disclosures in the informed consent documents or process?	
14.	要保人提供給受試者補助補償額的最高記錄是多少?	
	What has been the maximum compensation applicant has offered trial participants?	
15.	誰負責監督有關遵守國家的臨床試驗法律法規的情形?	
10	Who monitors compliance with the individual state and country clinical trial regulations?	
16.	要保人有否制定實施臨床試驗中止的標準操作規程?	
17	Does applicant have formalized Clinical Trial Suspension SOP's in place?	
17.	要保人的雇員或次承包商是否以要保人的名義提供直接病患照護服務?他們是否已投保其自身的醫藥專業	
	責任保險?	
	Do any of applicant's employees or sub-contractors provide direct patient care on applicant's behalf? Do they carry their own medical malpractice insurance?	
10	要保人是否曾同時作為試驗試驗委託人和臨床試驗主持人?	
10.	安保人定省盲问时作為試驗試驗安託人和臨床試驗主行人! Does applicant ever act as both trial sponsor and clinical investigator?	
19.	要保人是否為非由您委託的臨床試驗提供原料和/或產品?	
13.	安保へ足も飼养 田心安託的 臨床 試験 提供 原 付 和 / 3 及 性 回 : Does applicant provide material/product, or both, for clinical trials for trials you do not sponsor?	
20	要保人是否經營住院醫療機構?如有,要保人是否具備合格認證的急救護理設備?	
20.	Does applicant operate an in-patient facility? If so, does applicant have an accredited emergency care	
	facility?	
21.	在最近3年內要保人是否發表過任何研究成果,但未納入其他亦由要保人所作但結果不同的研究? (如有,	
	具體寫明)	
	In the last 3 years have applicant published any study results without including other studies that were	
	conducted by applicant that did not support the same findings? (if yes, provide details)	
22.	要保人是否發表所有臨床試驗成果?	
	Does the applicant publish all clinical trial results?	
23.	要保人是否遵守所有應適用的法規指引? (如沒有,具體寫明)	
	Is applicant in compliance with all applicable regulatory guidelines? (if no, provide details)	
24.	在最近3年內要保人有否因任何違規行為被登錄? (如有,具體寫明)	
	Has applicant been cited for any regulatory violations in the last 3 years? (if yes, provide details)	
詳	細資訊:	
De	tails:	

醫務人員	專科	估計每年直接護理病人的小	要保人雇員人數	獨立契約工人數
Health professionals	Specialty	時數 Est. hours of direct patient	# Applicant Employees	# Independent Contractors
		interactions annually		Contractors
醫師				
Physicians				
註冊護士				
RN's				
職業護士				
LPN's				
藥劑師 Black				
Pharmacist				
醫療技士 Medical Technician				
急救醫療技士				
EMT's				
其他(請寫明)				
Others (please describe)				
詳細資訊:	-		•	•
Details:				
法律				
LEGAL	1 11 tn 14 + (1 + +t 17)	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1		
	L的契約: (如有,請解			
	ny contracts that: (if so, p	olease explain)		
a. 承擔第三人的	反権 頁仕 ort liability of another pa	ets.		
	在限制在直接損害範圍戶	•		
	damages to direct dama			
		的事項列入不可抗力範圍		
		and all events outside applicant's co	ontrol	
d. 沒有包含相互		and an events outside applicants ee	niti oi	
	cate a mutual hold harm	less agreement		
	2 户訂立書面的契約或協	9		
		nent with all clients, including chang	ges?	
		是否經過要保人律師的審查?	,,,,,	
		or agreements including changes pri	or to use?	
4. 是否有正式的事故和照	•			
Are there formal incide	ents and claims escalatio	on procedures in place?		
5. 是否有關於訴訟文件控	E管的正式程序?			
Are there formal proce	edures in place regarding	g litigation document control?		
 是否有關於對內對外聯 	\$ 繫政策和程序的正式訓	練?		
	g on internal and externa	al communication policies and proce	edures?	
詳細資訊:				
Details:				
產品銷售和市場推廣。如				
PRODUCT SALES & MAR		e nere: 🔲		
I. 預計年度處方/設備銷金		13		
	criptions/units to be sold	I.f		
Projected # of annual p 3. 是否進口任何產品成分	Droducts users: ↑/零配件? <i>(如有,具</i> 覺	地 官 印)		
		重為明) d? (if yes, provide details)		
	高標銷售的產品?(如			
		'labels? (if yes, provide details)		

5.	是否有任何作為其他產品成份或零配件的產品銷售? (如有,具體寫明)	
	Any products sold as ingredients/components for other products? (if yes, provide details)	
6.	是否有產品在國外生產? (如有,具體寫明)	
	Any products manufactured outside the domestic country? (if yes, provide details)	
7.	是否有任何已獲准可供未成年人使用的產品?	
	Any products approved for use by minors?	
8.	是否有任何因安全原因而終止生產的產品? (如有,具體寫明)	
	Any products discontinued for safety reasons? (if yes, provide details)	
9.	是否涉及任何被禁產品? (如有,具體寫明)	
	Any association with banned products? (if yes, provide details)	
10.	在過去的3年內要保人回收產品的次數?詳細說明任何第1類回收的情況?	
	How many product recalls has applicant had in the past 3 years? Describe in detail any Class 1 recalls?	
11.	對涉及死亡、永久性傷殘或住院治療的產品,列出其不良事件報告次數位於前3項產品?請提供與這些產品	
	相關的最新完成的安全報告的影本。	
	Indicate the top 3 products in terms of number of Adverse Event Reports where the product was associated	
	with a death, permanent injury, or hospitalization outcome? Please provide copy of most recently	
	completed Safety Report associated with these products.	
12.	列出最近3年內被要求在其現有標籤上或使用說明書中標明黑盒警告訊息或其他重大的安全警告的任何產	
	Identify any product requiring the addition of a black box or other significant safety warning to existing	
10	labeling or instruction manuals in the last 3 years?	
13.	列出有關任何安全監督團隊提出過下列形式的(尚在進行中的或已完成的)救濟措施的相關建議:產品回	
	收/撤回,黑盒警告訊息標籤,"致保健專家"信函,進行額外試驗研究,或擴大產品監測。	
	Identify any safety surveillance team recommendations involving any of the following forms of remedial actions that have yet to be implemented or completed: product recall/withdrawal, black box warning label,	
	"Healthcare Professional" letter, additional studies, or expanded product monitoring.	
14	如果要保人發現其產品普遍地被使用於適應症以外的用途,公司將會採取何種措施?	
1 1.	What steps if any would the company take if applicant became aware of a pervasive off-label use of	
	applicant's products?	
15.	請寫明已知的有關您從產品用於適應症以外用途所得的收入?	
	Please indicate known revenues from off-label use of your products.	
16.	公司是否允許散佈任何適應症以外的用途的相關資訊?	
	Does the company allow any off-label information dissemination?	
17.	是否曾有任何內部或外部產品銷售人員違反銷售和市場推廣法規的情況?	
	Have there been any incidents of non-compliance regarding regulations concerning sales and marketing	
	practices by either internal or external product sales personnel?	
18.	有關您內部和外部銷售人員的法令遵循審查的間隔期有多久?	
	How often are compliance audits performed on your internal and external sales staff?	
19.	法令遵循審查是否包含與醫師的追蹤討論?	
	Do compliance audits include follow-up discussions with physicians?	
20.	公司廣告預算中有多少百分比是用於直接針對消費者的廣告?	
	What % of the company's advertising budget is allocated to Direct to Consumer advertising?	
21.	在產品推出後到進行直接針對消費者的廣告之間是否要求一段等待期?	
	Is there a required waiting period after product launch before DTC is conducted?	
22.	要保人提供給醫師的前三個最貴的補貼是什麼?	
	What are the top 3 most expensive perks applicant provides to physicians?	
23.	要保人對於禁止內部及外部產品銷售人員與患者的直接接觸是否有正式的特別規定?在最近3年內是否出	
	現過任何違規情況?	
	Does applicant have formal policy specifically prohibiting physical patient contact by internal and external	
	product sales personnel? Have there been any incidents of non-compliance in the last 3 years?	
24.	有關您內部和外部銷售團隊的定期正式且有書面記錄的法令遵循訓練的間隔期有多久?	
	How often is formal and documented compliance training required of your internal and external sales	
	force?	

經營風險管理和損失控制

OPERATIONS RISK MANAGEMENT & LOSS CONTROL

1. 要保人是否已制定企業風險/安全制度? *(如有,請提供該制度的負責人姓名)*Does applicant have a formalized Enterprise Risk/Safety Program? *(if yes, please provide name of person in charge of program)*

2.	您的企業風險/安全制度的重點範圍是什麼? (範圍可以包括行為準則,隱私,生物危險,災難復原等等)	
	What are the main focal areas of your Enterprise Risk/Safety Program? (Areas might include Code of	
	Conduct, Privacy, Biohazards, Disaster Recovery, etc.)	
3.	要保人是否要求所有新員工參與有關公司所有現行規章制度的指導訓練?	
	Does applicant require all new employees participate in training program that instructs them on all	
	applicable company policies and procedures?	
4.	要保人是否要求其所有的供應商或次承包商提供保險憑證?要保人要求的保險責任限額和條款是什麼?	
	Does applicant require Certificates of Insurance from all of applicants' suppliers and sub-contractors? What	
	limits and terms does applicant require?	
5.	是否每年都對所有的風險管理制度和標準操作規程進行審查?	
	Are all risk management programs and SOP's audited annually?	
6.	請說明任何由獨立的非政府機構/個人進行審查的風險管理制度和標準操作規程?	
	Please indicate any risk management programs and SOP's that are audited by independent	
	non-governmental organizations/individuals?	
7.	說明行業協會會員資格情況。	
	Indicate Industry Trade Associations Memberships.	
8.	要保人是否配有應急處理團隊?	
	Does applicant have a crisis management team in place?	
9.	要保人是否有全職的風險管理人員?	
	Does applicant have a full time risk manager on staff?	
	細資訊:	
D€	etails:	
ىدىد	\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	
	公場所/營運。如果不適用請在此註明: DEMINISTER (ODER ACTIONS ASSESSED AS	
1.	REMISES/OPERATIONS. If N/A, indicate here:	
1.	指出下列哪些情況適用要保人的辦公場所:只有用磁卡才可以進入及/或經授權的員工可以進入,必需前臺 登記方可進入,或沒有進入限制。	
	を記えり進入、或没有進入限制。 Indicate which of the following applies to applicant's premises: access is not allowed without card and/or	
	authorized employee, front desk registration only, or no restricted access.	
2.	指出下列哪些情況適用要保人的辦公場所:危險物質不得進入,或分隔裝入許可的容器內,或僅在定時供	
	應級別,或裝入非許可的容器的分隔區域。	
	Indicate which of the following applies: hazardous substances are kept outdoors or in a cut-off within	
	approved containers, just in time supply levels, cut-off area with unapproved containers.	
3.	指出辦公場所內現存有多少加侖的危險物質?	-
	Indicate how many gallons of hazardous substances are kept on site?	
4.	生物危險實驗室級別(如適用)?	
	Biohazard Lab Rating if applicable?	
5.	您是否有動物實驗設施或飼養動物?	
	Do you have an animal facility or house animals?	
6.	要保人是否遵守危險物質法規(如適用)?	
	If applicable is the applicant in compliance with Hazardous Materials Regulations?	
7.	要保人是否曾從直接競爭者處聘用重要雇員?	
	Has applicant ever hired key employees from direct competitors?	
8.	要保人是否曾進行和競爭者產品的直接產品比較?	
	Does applicant ever do direct product comparisons against competitors' products?	
9.	要保人是否有與其生產相似產品的競爭者?	
	Does applicant have any competitors making similar products?	
10.	要保人是否有正式的隱私規定?該規定最近一次更新和審查是在何時?	
	Does applicant have a formalized Privacy Policy in place? When was it last updated and audited?	
詳	細資訊:	
	etails:	

損失記錄和潛在損失

LOSS HISTORY & POTENTIAL LOSS

保險期間	保險人	賠償請求次數	已發生損失總額	賠付總額	賠付率	
Policy Period	Insurer	# of Claims	Total Incurred	Total Paid	Loss Ratio	

	年內的賠款支付總額(全部的					
	cost (losses from ground up in	cluding defense, deduct	ibles, and	SIR's) for last five ye	ars	
*另附前保險人承						
*Attach previous	carrier loss runs					
	·生而損失金額等於或超過為10	1000美元的指生:				
	主間領人並領寺が気延過為で ncurred losses of US\$10,000 or					
	·償請求? (如有,具體寫明)	more.				
	ot yet reported? (if yes, provide	details)				
	在涉及任何已證實的或試圖進		訴訟的			
任何產品或服		11 44 70 700				
	product or service past or pres	ent that has been involv	ed with			
	or attempted, class action or n					
	道任何人會合理預期將導致本		.的任何			
事實、情況或	.情形? <i>(如有,具體寫明)</i>					
	nt aware of any fact, circumsta					
_	ably expect could give rise to a		ithin the			
scope of the i	nsurance being requested? (if	yes, provide details)				
The information	提供的資訊僅用於保險業務之 requested in this Application / of a Claim or potential Claim.					
承保歷史記錄						
COVERAGE HIS	TORY					
保險期間	基層和超額責任限額	保險人	事	 故/賠償請求		追溯日
Policy Period	Primary & Excess Limits	Carriers		currence/Claims Ma	de	Retro Date
	J					
						

Po	licy Period	Primary & Excess Limits	Carriers	Occurrence/Claims Made	Retro Date	
1.	要保人是否有信	王何由現在的保險人提出而尚	未履行的損失控制建議? <i>(</i> >	如有,具體寫明)		
	Does applicant	have any outstanding loss cor	trol recommendations with	applicant's current carrier? (if yes, provide		
	details)	•				
2.	要保人是否有信	E何經保險人取消或未續保的(呆險? (如有,具體寫明)			
	Has applicant's	insurance ever been canceled	or non-renewed by a carrie	r? (if yes, provide details)		
3.	您的產品、臨历	床試驗,或服務中是否有任何」	頁目被特別排除在您的現行?	有效保險單之外? <i>(如有,具體寫明)</i>		
	Any of your pro	oducts, clinical trials, or service	es specifically excluded on y	our existing policy? (if yes, provide details)		
4.	您對於要求追溯	朔至您指定的追溯日的本項保 [愈是否同時持有重複的賠償 認	青求發生制保險?		
	Have you had o	concurrent claims made insura	ance for the insurance you a	re requesting back to your stated retro		
	date?					
詳	細資訊:			·		
De	etails:					

INSURANCE REQUESTED

INSURANCE REQUESTED		
承保範圍	投保限額	自負額/自留額度要求
Coverage	Limits Requested	Deductible/SIR Requested
公共意外責任		
Premises & Operations Liability		
產品和完工責任		
Products & Completed Operations Liability		
專業責任(錯誤與疏忽的財務損害)		
Professional Liability (E&O Financial Injury)		
財産		
Property		
其他		
Other		
詳細資訊:		
Details:		

本要保書中所包含的資訊或資料或根據本要保書所提供的資訊或數據所提供的與核保程序有關的任何資訊或資料並不構成就任何意外事故、錯誤行為、賠償請求、訴訟或其他情況而作出的通知,也不構成任何保險單有關任何通知或其他任何條款所要求的條件。所有此類通知都必須根據適用的保險單條款分別提出。

INFORMATION OR DATA CONTAINED IN OR SUBMITTED IN CONNECTION WITH THIS APPLICATION DOES NOT CONSTITUTE NOTICE OF AN OCCURRENCE, WRONGFUL ACT, CLAIM, SUIT OR OTHER CIRCUMSTANCE AND DOES NOT SATISFY ANY OF THE REPORTING NOTIFICATION OR OTHER PROVISIONS OF ANY POLICY. ALL SUCH NOTICES MUST BE GIVEN SEPARATELY IN ACCORDANCE WITH THE APPLICABLE POLICY CONDITIONS.

為本要保書之目的,擬購買本保險的所有個人或組織的下列簽署主管就簽署本要保書聲明並承諾其未對本要保書作任何更改(除非為回答問題而保留的欄目),並且已經與其執行長、財務長、營運長和其他同類職務的負責人一同審閱過本要保書以及其中所包含的陳述,此外,盡他們所知和所信,經合理的詢問後,本要保書中的陳述和其他附件中的陳述對於所有依據此要保書提出保險申請的個人和組織而言,是真實和完整的。本公司獲得授權進行與本要保書有關的詢問。簽署本要保書並不對本公司構成約束或使本公司有義務提供本要保書所申請的保險,但各方同意本要保書的填寫和簽署是可能簽發的保險單的基礎。若本要保書中的陳述和其他附件中的陳述在擬簽發的保險單生效日之前發生實質性變更,要保人必須通知本公司,而本公司可以更改或撤回任何報價。

For the purposes of this application, the above-signed officer of all person(s) and organization(s) proposed for this insurance declares and acknowledges by executing this application that, no alterations were made to this application (other than sections reserved for answers), he/she has reviewed this application and the statements contained therein with his/her Chief Executive Officer, Chief Financial Officer, Chief Operating Officer or their equivalents, and that to the best of their knowledge and belief, after reasonable inquiry, the statements in this application, and in any attachments, are true and complete for all persons or organizations applying for insurance under this application. The Company is authorized to make any inquiry in connection with this application. Signing this application shall not constitute a binder or obligate the Company to complete this insurance, but it is agreed this application shall be the basis upon which a policy may be issued. If the statements in this application or in any attachment change materially before the effective date of any proposed policy, the applicant must notify the Company, and the Company may modify or withdraw any quotation.

要保人的授權簽字	日期
Authorized Signature of Applicant	Date
姓名	職務
Print Name	Title
要保人 Applicant	

■以下由保險公司及保險經紀人/代理人填寫:The following is filled by broker/agent and insurance company:

核保人 簽章 Underwriter's Signature	保險經紀/代理簽署人簽章 Signature of Broker's/Agent's Signatory	保險業務員 Insurance Salesperson	
		登錄證號: Registration ID No.:	簽章: Signature: